

SDWORKS GUIDE FOR TRAINING PROVIDERS

The collection of statewide education and training programs that align with State and regional in-demand occupations and industry sectors is known as the Eligible Training Provider List (ETPL). Individuals eligible to receive tuition assistance through the Workforce Innovation and Opportunity Act (WIOA) will have the opportunity to participate in ETPL programs that increase their knowledge, skills, and abilities in the workplace. Most importantly, the ETPL empowers individuals to select the training that best suits their career objectives.

Applications are initiated through the SDWORKS online portal. This document is designed to guide an organization through registering, completing, submitting, and maintaining the provider account and individual programs.

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BEFORE GETTING STARTED

Review the Eligible Training Provider List Policy 4.10.

REQUIRED PROVIDER FORMS

ETPL Required Documents for Initial Application <u>Form 56</u> Provider Payment Authorization <u>Form 70</u>

If applicable:

Registered Apprenticeship Program Provider Form 55

HOT CAREERS What's Hot! Current Job Openings in Hot Careers Sign In

ACCESSING THE LOGIN PAGE

To access your provider dashboard, please visit SouthDakotaWorks.org. Click Sign In, a new page will open.

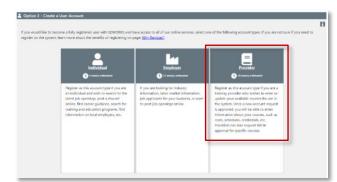
Option 1 – **Already Registered** is for existing users linked to their respective Training Provider organization. If you have forgotten your username and/or password, select the **Retrieve Username or Password**, and complete the appropriate form.

Option 2 – Try Us Out does not apply to Training Provider accounts.

Option 3 – Create a User Account is used for new Training Provider organizations and new provider users. To create a new account, select **Provider**.

Enter *Federal Employer Identification Number (EIN)
and select Find. Training providers are recognized in
SDWORKS by the supplied EIN. Ensure that your organization
does not already have an account before completing a new provider organization account.

If you have questions about entering your information or having trouble logging in, please contact DLR at

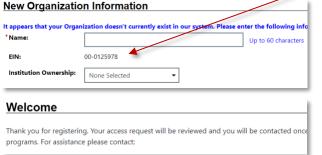




NEW ORGANIZATION REGISTRATION

DLRETPL@state.sd.us.

Providers that are not registered in SDWORKS will automatically be navigated to the **New Organization Information** form. Before completing the information, double-check that the EIN is accurate. To continue with registration, complete all information marked with *asterisk.



Enter the organization's *Name and Institution Ownership type. Followed by the fields in the *Enter Your Information and *Login Information sections. Select Save to submit the application. At the time of submitting a new provider application, only one user will be on file. After activation, more users may be added.

A DLR Program Specialist will review the application and contact the individual via email of approval, denial, or if additional

information is needed. To expedite user activation, email DLRETPL@state.sd.us following registration submission.

NEW USER REGISTRATION

To add more user(s) to an existing Training Provider Organization account, select Provider from Option 3 - Create a User Account. Enter the *EIN corresponding with the existing provider account select Find.



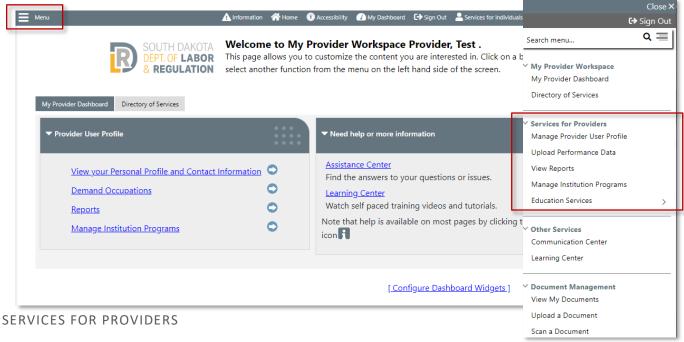
The Matching Organizations page will supply all providers with matching EIN. Click Select next to your organization.

Complete the required fields in the *Enter Your Information and *Login Information sections. Select Save to submit the application.



MANAGE PROVIDER WORKSPACE

After account activation, the user may log in. The main screen is the *Provider Workspace* and looks similar to the screenshot below. Click **Menu** in the top left corner to access all *Services* and *Document Management* options



The *Services for Providers* section contains the necessary links to edit the user contact information, program information (costs, length, etc.), and submit additional programs.

- Select Manage Provider User Profile from the Services for Provider section to review and edit login and user
 contact information, including changing the user password. The Organization Information is for review only; if an
 update is necessary, contact <u>DLRETPL@state.sd.us</u>. To exit and return to the Provider Workspace, click Return
 to Dashboard, Save, or Cancel.
- Refer to the <u>Reporting and Performance Requirements</u> section in this guide for information on <u>Upload</u>
 Performance Data.
- There are two types of reports available for providers. This information can also be exported in Excel or CSV formats, as well as printed. To access them, select View Reports, followed by the appropriate report.

- o **Institution Detail** report provides a list of contacts attached to each institution location (both Primary and Satellite).
- Program reports provide a list of programs associated with the provider (organization) account, including inactive, approved, and pending status. The Program report contains all details recorded about each specific course or program for the provider institution
- Refer to the Manage Institution Programs section in this guide for more information.
- The Education Services submenu provides users with information about training and educational programs offered by various institutions.

OTHER SERVICES

- The Communication Center will allow you to communicate with DLR Program Specialists.
- The Learning Center provides general how-to videos.

DOCUMENT MANAGEMENT

- Select View My Documents to review all previously uploaded documents
- Refer to the Upload a Document section in this guide for more information.

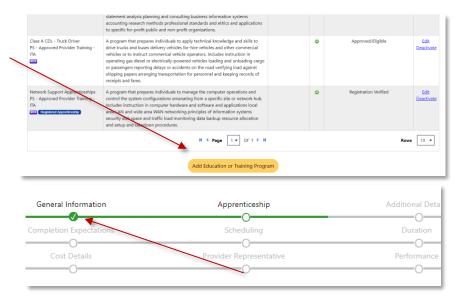
MANAGE INSTITUTION PROGRAMS

ADD/FINISH EDUCATION OR TRAINING PROGRAM

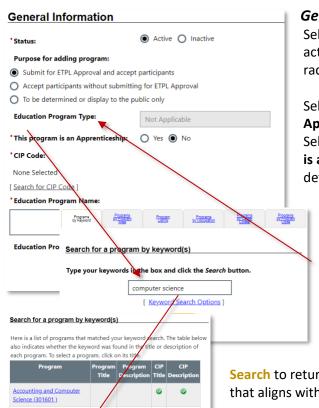
Select the Manage Institution Programs from the left menu or the *Provider*Workspace, then select the Add Education or Training Program at the bottom of the page.

When entering program information into the wizard, it is always best to fill in as many fields as possible, regardless of whether the field is required.

TIP: Do not use any acronyms or abbreviations when entering your program information.



Once the application opens, 12 sections of information require completion before the program can be submitted for review. Completed sections are identified as a green circle with a white checkmark.



Science (300801)

Aerospace Ground

(290401.)

Equipment Technology

Computer Science (110701)

General Information

Select the **Active** radio button by ***Status.** (The system defaults to active). Select the **Submit for ETPL Approval and accept participants** radio button identifying the ***Purpose for adding program.**

Select the appropriate radio button indicating if *This program is an Apprenticeship. Selecting Yes will trigger two additional questions. Select the Yes radio button identifying that *This Education Program is a Registered Apprenticeship. Select the No radio button, determining that *This is an Industry Recognized Apprenticeship

Program. If you are unsure if the education or training program is a registered apprenticeship, contact DLRETPL@state.sd.us for guidance.

Select [Search for CIP Code] to fill in the *CIP Code information.

Type the keyword in the box to search for the associated CIP Code for the program using any of the search tabs (by Keyword; by Program Area; by Listing; by Occupation; by Career Cluster; by Program Code). If a message returns stating there are no previously entered records, remove the entered keyword and click

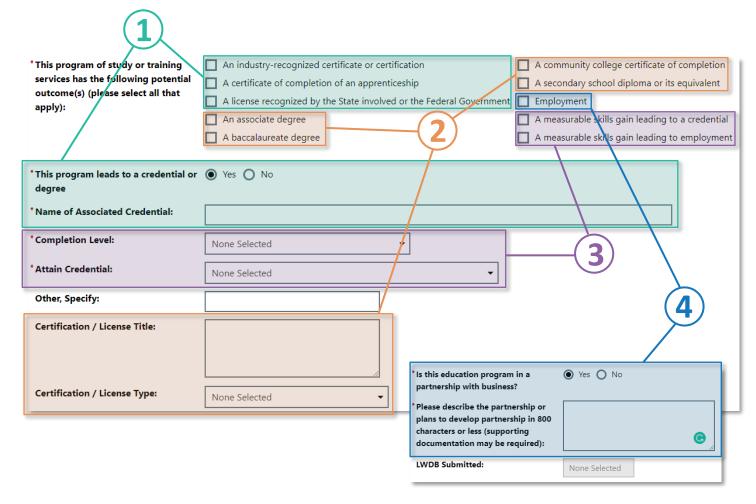
Search to return all available occupations. Select the most appropriate **CIP Code** that aligns with the training offered.

The *Education Program Description will auto-populate with information when the CIP Code is selected above. Type the *Education Program Name (e.g., "Practical Nursing." DO NOT enter the Provider Name.) This will be the program name used on the public site

Select all that apply for the *Potential outcomes for this program of study or training services. A program may align with up to nine outcomes that can be attained throughout the completion of the program. At a minimum, all programs should include the measurable skills gain leading to employment with all other outcomes that can be verified. If a postsecondary credential is achieved, the credential skill gain should be included. Expected Outcome definitions can be found on page 15.

Complete the identified corresponding information to match the training program as accurately as possible.

- Group 1: If you check any of these three boxes, enter the Certification/License Title and select the appropriate Certification/License Type from the dropdown menu.
- Group 2: If you check any of these four boxes, also check the box to indicate that your program leads to a credential or degree and enter the name of the associated credential. For example, if your program results in an Associate of Applied Science, enter that full name into the field.
- **Group 3:** Select the appropriate completion level and credential attainment from the dropdown menus. If your program does not lead directly to a credential, certification, or license, check the box(es) to indicate the appropriate measurable skills gain.
- **Group 4:** If you check this box, scroll down and select the yes radio button below indicating the education program is in a partnership with a business, describe the nature of the partnership, and submit verification of the partnership in compliance with <u>Policy 4.10.</u>



Click What is a Green Job? for examples of green jobs and select the appropriate radio button for *Green Job Training. Click Next to continue the application.

Apprenticeship

Education and training programs that selected **No** on the **General Information** tab for *This program is an **Apprenticeship** will see **No information is needed on this page because this is not an Apprenticeship program.** If this is

Apprenticeship *Apprenticeship Registration Date: Today *Apprenticeship Description: * Number of active apprentices: *Instruction Method: None Selected *Instruction Length in Weeks: \odot *Technical instruction is provided by another provider: Program Model: None Selected *Apprenticeship program sponsored by another Yes No organization: **Related Training and Instruction** At least one Related Training and Instruction provider is required. Currently no Related Training and Ins

fields providing information about the *Program Sponsor.

At least one *Related Training Instruction Provider is required. To add a new record, click Add.

If **This Provider** is selected, verify the **Existing Provider Information** on the next page and complete information required as part of the *Other Information. Select Save.

If Another Provider is selected, identify if that related training instructor is in SDWORKS by typing the *Organization Name. An organizational list will autopopulate for selection; if available, click Found in List. Complete the information required as part of the *Other Information. Select Save.

If no organizations match the related training instructor, click **Not Found**. Complete the required information listed as part of the ***Outside Provider Information** and the ***Other Information**. Select **Save**.

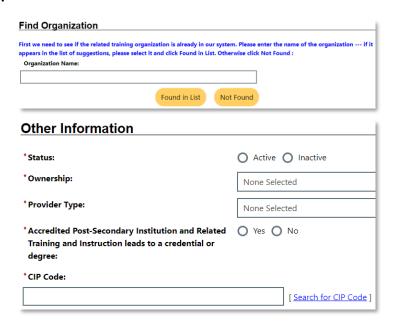
not accurate, click Back to return to the General Information tab, make the correction and continue.

If the education and training program is a U.S. DOL Registered Apprenticeship Program (RAP), the *Apprenticeship* tab will contain information to be completed.

Complete all the required fields. The *Apprenticeship Registration Date and *Number of Active Apprentices can be obtained from the RAP sponsor or an individual with access to the U.S. DOL RAPIDS database.

If the *Technical instruction is provided by another provider, selecting Yes will trigger additional required fields about the *Instruction Provider.

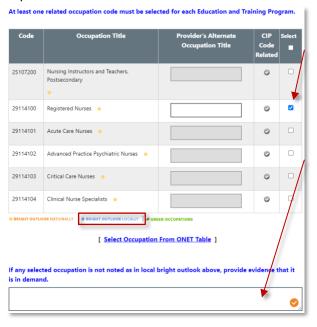
If the *Apprenticeship program is sponsored by another organization selecting Yes will trigger additional required



Review the information before clicking Next to continue.

Additional Details

Enter all additional information available for the program. In the *Provide a Reasonable Explanation Regarding Why This is a New Program, briefly explain why it is being added to the ETPL. Remember it is best to fill in as many of the fields as possible, regardless of whether the field is required. Select Next.



IMPORTANT: U.S. DOL RAP's are automatically eligible for inclusion on the ETPL. The following tabs have required information to be completed:

Occupations Locations Cost Details Confirmation Review

It is always best to fill in as many fields as possible.

Occupations

Several occupation titles will be pre-populated based on the programs CIP code. Select any or all of these occupations.

A blue sun icon will display next to occupations that are listed in Bright Outlook locally. If any of your selected occupations do not have a blue sun, you are required to provide justification that the selected occupation is in demand.

Your narrative should reference other labor market information not captured in Bright Outlook locally (you can use "<a href="Hot Careers" information from the DLR Labor Market Information Center (LMIC) or Bright Outlook nationally for this purpose) and/or describe local employer/business support for the occupation(s) listed. When describing local employer/business support, a letter of support,

describing the need must be submitted using Form56 as described in Policy 4.10. Select Next.

Occupational Skills

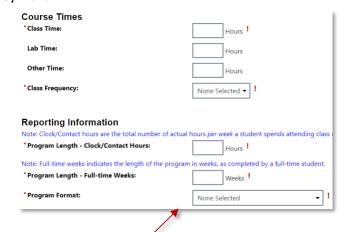
To add occupational skills, click Add New Occupational Skill(s). Select a category from the dropdown menu and select any applicable skill descriptions. Click Save to return, followed by Next.

Scheduling

Input *Course Times (Class Time, Lab Time, and Other Time). Indicated the program's *Class Frequency (Daily, Bi-weekly, Weekly, Monthly, Quarterly, Semester, Tri-Semester, Annual, Bi-Annual, Bi-Monthly).

Input the *Program Length – Clock/Contact Hours and the *Program Length in Full-time Weeks.

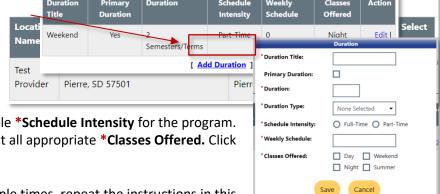
Clock hours are the total number of actual hours per week a student spends attending class or other instructional activities that count toward completing a program of study.



Select the appropriate *Program Format (In person, Online, Hybrid). If there is more than one Program Format, a separate application must be completed for each format.

Duration

Select *Add Duration. Input a *Duration
Title in the pop-up window. Input a
numerical value for *Duration Title (In
Semesters/Terms, Weeks, Hours, Months,
Full time, Online, Flexible, etc.). Select a
*Duration Type (In Semesters/Terms,



Weeks, Hours, Months). Indicate the applicable *Schedule Intensity for the program. Input the program's *Weekly Schedule. Select all appropriate *Classes Offered. Click Save to return, followed by Next to continue.

If the **same program of study** is offered multiple times, repeat the instructions in this section.

Locations

Select all of your institution's *Locations that offer the training program. At least one location is required. If a desired location is not an option or you would like to include additional locations, email DLRETPL@state.sd.us. Select Next.

Cost Details

Associated cost details are required for all programs. Select *Add Cost Structure, then select *Total CRS Training Costs from the dropdown. The Total CRS Training Costs field will update automatically as you add costs in the *Tuition/Fees, Books, Tools

and **Other Costs** fields. Any costs added to the Other Costs fields requires explanation in the **Comments** box.

Tuition cannot be more than the amount charged to the general public and providers will be held to costs reflected on the ETPL.

Select Save followed by Next.

Cost Structure() No records found Indicates required fields. The printings to add Please ensure that the amounts entered are for the costs of the primary duration, N/A N/A. Cost Structure: None Selected Total CRS Training Costs Comments Total CRS Training Costs

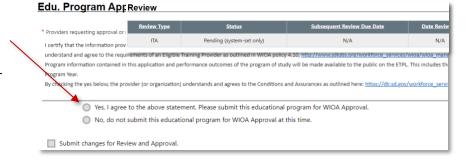
Performance

Performance for initial eligibility approval is to be submitted on Form 56. Select Next.

Please refer to <u>Policy 4.10</u> and the **Annual Reporting and Performance** section for more information regarding best practices, reporting instructions, and minimum performance requirements.

Confirmation

You must read the **Edu. Program Application Confirmation** and select **Yes** in order to submit the program registration for approval. If you select **No**, the program will not be reviewed for ETPL approval. Select **Next.**



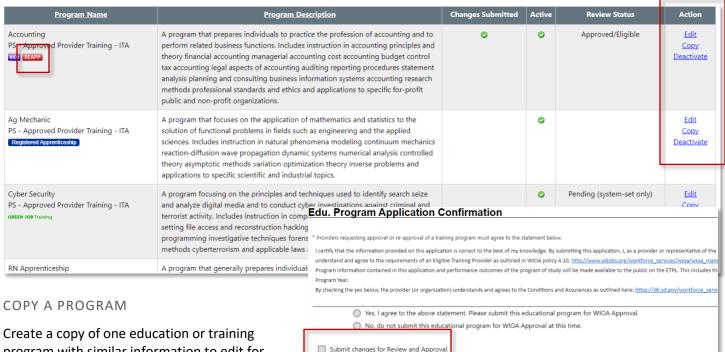
Review

Here you can review the status of the program. Select Finish to return to the Education and Training Programs page.

EDITING PROGRAM INFORMATION

An education or training program may be updated if it has been approved or is in the application review process. Making a change to a program which has already been approved requires reapproval.

Select the Manage Institution Programs from the left menu or from the Provider Workspace. Select Edit under the Action column for the program you wish to update. The program application will open to the General Information tab. Using the Tabs located at the top of the application or the Next button at the bottom of the application to navigate the desired editing areas. Any edits made on a page must be saved by click the Next button. When all updates have been completed, navigate to the Confirmation tab and select the checkbox to Submit Changes for Review and Approval.



program with similar information to edit for the initial application of another program.

Select the Manage Institution Programs from the left menu or from the Provider Workspace. Select Copy under the Action column for the program you wish to copy. Make the appropriate changes on the next screen and select Save and Edit to continue editing the previous program's information for the new program.

DEACTIVATING A PROGRAM

Select the Manage Institution Programs from the left menu or from the Provider Workspace. Select Deactivate under the Action column.

CONTINUED ELIGIBILTY (REAPPLICATION) PROCESS

Select the Manage Institution Programs from the left menu or from the Provider Workspace. A red REAPP icon indicator will populate 90 days for the required biennual continued eligibility reapplication. Begin by reviewing Policy 4.10. Follow the instructions provided under the Editing Program Information.

REPORTING AND PERFORMANCE REQUIREMENTS

Minimum Performance Requirements

Program performance is collected for DLR to evaluate program effectiveness and monitor compliance. DLR has established the minimum performance standards to meet the State's lowest <u>negotiated performance rate</u> of the Adult, Dislocated Worker, or Youth program established with U.S. DOL for the biennial program year. Registered Apprenticeship Programs are not required to submit performance information.

Program performance is based on all student outcomes for the Employment Rate 2nd Quarter After Exit, Employment Rate 4th Quarter After Exit, Median Earnings 2nd Quarter After Exit, and Credential Attainment Rate.

Programs that fail three out of four elements for two consecutive years must be removed from the ETPL as stated in the Conditions for Removal. Training programs with less than ten total students are exempt from the conditions of removal due to insufficient performance requirements. Providers removed for one of these reasons may reapply for initial eligibility by demonstrating compliance with all requirements under WIOA law.

Reporting Period

Each approved training provider must submit program performance reports on an annual basis, based on the Program Year (July 1st to June 30th) to DLR for each approved program using the data template supplied by DLR. Data must be submitted no later than July 31st after the end of each program year for the 12-month period beginning July 1st of the previous year. This 12-month period is the "reporting period."

EXAMPLE: On July 31^{st} , 2020, the training provider submits a program performance report for each of its approved programs for the reporting period beginning July 1^{st} , 2019, ending June 30^{th} , 2020.

Instruction For Data Submission

OPTION 1: SUBMITTING PERFORMANCE DATA THROUGH SDWORKS

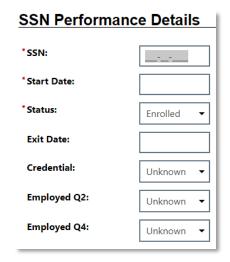
Login to your training provider account on <u>SDWORKS</u>. Select the <u>Manage Institution Programs</u> from the left menu or from the <u>Provider Workspace</u>. Locate the program you are entering performance data on and select <u>Edit</u>.

Program Name	Program Description	Changes Submitted	Active	Review Status	Action
Accounting PS - Approved Provider Training - ITA REAPP	A program that prepares individuals to practice the profession of accounting and to perform related business functions. Includes instruction in accounting principles and theory financial accounting managerial accounting cost accounting budget control tax accounting legal aspects of accounting auditing reporting procedures statement analysis planning and consulting business information systems accounting research methods professional standards and ethics and applications to specific for-profit public and non-profit organizations.	0	0	Approved/Eligible	Edit Copy Deactivate
Ag Mechanic	A program that focuses on the application of mathematics and statistics to the		0		Edit

Select the *Performance* tab located at the top of the screen. Select the Add SSN Record to display SSN Performance Details fields.

Enter data for *all students* who were enrolled, exited, and completed the program during the reporting period.

IMPORTANT: Training providers with programs that lead to **self-employed** occupations, must provide all data elements, including employment and earnings information.



DLR will obtain the students in unsubsidized employment during the 2nd and 4th quarter after program exit.

Save each individual record and repeat the process for all students enrolled in the program. The list of SSNs will reload with the new entry included on the SSN Performance Data screen. When all data is entered for each student, select the Next to proceed to the *Confirmation* tab and the Continued Eligibility Process. After successful data submission the program status will change to Pending (system-set only) select Finish to return to the Education and Training Programs screen.

OPTION 2A: SUBMITTING PERFORMANCE DATA USING EXCEL TEMPLATE

Request a template by emailing <u>DLRETPL@state.sd.us</u>. Once received and opened the Excel spreadsheet will populate with two tabs. The first titled *Instructions* and the second titled *Summary*. Review the *Instructions* for details regarding the spreadsheet.

- 1. Select the *Summary* tab. The *Summary* tab will include all of the programs you have entered into the SDWORKS. For each program, click the applicable link under the **Worksheet** column.
- 2. Enter all the student information for the respective program worksheet. At a minimum, the **SSN**, **Start Date** and **Status** must be entered. Enter **Unknown**, in the Employed Q2 and Employed Q4 columns. Repeat this process for the remaining programs until completed. **Save** and **Close** the workbook.
- 3. Login to the appropriate provider account on **SDWORKS**.
- Select Upload Performance Data from the left-navigation menu, Services for Providers.
- 5. Select Choose File to unload the completed performance report excel file, followed by Upload.
- 6. A successful upload will populate in the **Previous Upload Sessions**, with a **Pending Approval** status.

OPTION 2B: HIGHER EDUCATION PROVIDERS SUBMITTING PERFORMANCE DATA USING EXCEL TEMPLATE Request a template by emailing DLRETPL@state.sd.us. Once received and opened the Excel spreadsheet will populate with two tabs. The first titled *Instructions* and the second titled *Summary*. Review the *Instructions* for details regarding the spreadsheet.

- Select the Summary tab. The Summary tab will include all of the programs you have entered into the SDWORKS.
 Column A lists the Program ID. Select Worksheet 1 to begin entering performance date.
- 2. Enter all the student information for the respective programs. At a minimum, the **SSN**, **Start Date** and **Status** must be entered. Enter **Unknown**, in the Employed Q2 and Employed Q4 columns. Repeat this process for the remaining programs until completed. **Save** and **Close** the workbook.
- 3. Select Upload a Document from the left-navigation menu, Document Management.
- 4. Choose Other from the Document Description dropdown.
- **5.** Type **Performance 20XX** with the respective reporting year in the **Document Tags** textbox. Attach the Excel workbook and select **Save**.

A successful upload can be viewed by selecting View My Documents from the Document Management navigation menu.

DEFINITIONS

The following definitions are used in the calculation of performance measures and apply to the data that is collected and analyzed by the system for any program year:

Date of Program Exit - the term program exit means a participant has not received a service funded by the program or funded by a partner program for 90 consecutive calendar days and is not scheduled for future services. The exit date is the last date of service.

Enrollee - a student that started a provider's program during the enrollee data collection period. Note: A student who is participating in a program but who started in a previous collection period is not an enrollee.

Enrollee Data - data that the DLR requests from providers for a program year. (**Example** ETPL program year 2019 requires student enrollee data from data year July 1, 2019 through June 30, 2020.)

Exiter - a completer of a program or non-completer who leaves a program.

Exiter Data - data that the DLR request from providers for a program year and includes completers and non-completers and includes all participants not just WIOA Title I funded students. (**Example:** ETPL program year 2019 requires student enrollee data from data year July 1, 2019 through June 30, 2020.)

Exit Quarter - the calendar quarter containing the exit or completion date of a student.

Program Year – program eligibility begins on July 1 of any year and ends on June 30 of the next year. Note: Schools may apply for the ETPL throughout the program year; however, eligibility expires on June 30.

Credential Attainment Rate - The total number of participants who exited during the reporting period who obtained a recognized postsecondary credential during the program or within one year after exit plus those who were in a secondary education program and obtained a secondary school diploma or its recognized equivalent during the program or within one year after exit and were also employed, or in an education or training program leading to a recognized postsecondary credential within one year after exit divided by the total number of participants who enrolled in an education or training program who exited during the reporting period.

Employment Rate Second 2nd and 4th Quarter After Exit - The number of all exiting participants (completers/non-completers) from the applicable program who are found to be employed, either through direct UI wage record match, Federal or military employment records, or supplemental wage information, in the second and fourth quarter after the exit quarter, divided by the total number of exiting participants who exited during the reporting period. This measure shows the effectiveness of students gaining unsubsidized employment after the exit from a provider's program.

Median Earnings 2nd Quarter After Exit - Total quarterly earnings, for all participants employed in the second quarter after exit, are collected by either direct wage record match or supplemental wage information. The collected quarterly wage information values are listed in order, from the lowest to the highest value. The value in the middle of this list is the median earnings value, where there is the same quantity of numbers above the median number as there is below the median number.

Average Earnings 2nd and 4th Quarters After Exit – Average earnings of individuals in the program of study who are in unsubsidized employment during the second and fourth quarters after exit.

Completion Rate - The number of participants who completed the training program (numerator) divided by the total number of students exiting the program (denominator), multiplied by 100 and reported as a percentage.

APPLICATION STATUS DEFINITIONS

Approved/Eligible: Was WIOA Approved in the previous program year, has not reapplied for the current program year.

Approved/Eligible with the Purple WIOA icon: Approved for the current program year, is on the current ETPL.

Rejected: Failed to meet the application or reapplication requirements

Pending System Set, Approve/Eligible: Has reapplied for the current program year and is pending to be reviewed by DLR Program Specialist, was WIOA approved in the previous program year.

Pending System Set: Applied for the current program year and is pending to be reviewed by staff.

In Progress: Application still needs to be completed by Training Provider and submitted for WIOA approval.

Blank: An Education Program Type within program's General Information has not been selected, therefor provider cannot submit the application for WIOA Approval

EXPECTED OUTCOME DEFINITIONS

An industry-recognized certificate or certification (Post-Secondary Credential) - An award that requires completion of an organized program of study validating the knowledge, skills, abilities and attributes required by workers in a certain industry recognized by employers and utilized in hiring decisions.

A certificate of completion of an apprenticeship (Post-Secondary Credential) - Certificate of completion of a registered apprenticeship program issued by the U.S. Department of Labor or a State Apprenticeship Agency.

A license recognized by the State involved or the Federal Government (Post-Secondary Credential) - License required by government entities before an individual is allowed to be employed in a practice trade, profession or other occupation.

An associate degree (Post-Secondary Credential) - An award that normally requires at least 2 but less than 4 years of full-time equivalent college work.

A baccalaureate degree (Post-Secondary Credential) - An award conferred by a college, university or other postsecondary education institution as official recognition for the successful completion of a program of studies that normally requires at least 4 but not more than 5 years of full-time equivalent college level work.

A community college certificate of completion (Post-Secondary Credential) - A formal award certifying the completion of a postsecondary education program that includes academic credits.

A secondary school diploma or its equivalent (Secondary Credential) - A document certifying the successful completion of a prescribed secondary school program of studies, or the attainment of satisfactory scores on the General Educational Development (GED) test or another state specified examination.

Employment (Employment) - Attainment of sufficient knowledge and skills to perform in an occupation accepted by local employing companies. – Employment

A measurable skills gain leading to a credential (Measurable skill gain towards post-secondary credential) - A gain in skills that would "lead" to a postsecondary credential including an interim credential issued by the U.S. Department of Labor for an apprentice's attainment for an industry recognized component.

A measurable skills gain leading to employment (Measurable skill gain towards employment) - A gain in skill that would "lead" to sufficient knowledge and skills to perform in an occupation accepted by local employing companies.